The Promise of DWI Courts

Hon. Rogelio R. Flores (ret.) California Association of Collaborative Courts Conference, Monterey, CA. 2023



Learning Objectives

As a result of this session, you will be able to:

Introduce how DWI Courts operate in both a traditional & multi-track model

Apply the practices supported by the evidence-based research from the success of DWI Court for offenders, the judicial system, and the community

Discuss how DWI Courts can improve sentencing outcomes

Identify and explain the benefits of the DWI courts, and the need for these courts from a judges' perspective

What Are DWI Courts?













Problem Solving Courts (a.k.a. Treatment Courts)

DWI courts are a part of the family of treatment-focused therapeutic courts that include:

- Drug Courts
- Juvenile and Family Drug Courts
- Campus Drug Courts
- Veterans Treatment Courts
- Mental Health and Co-occurring Disorders Courts
- Tribal Healing to Wellness Drug Courts
- State and Federal Reentry Drug Courts



WHAT IS A DWI COURT?



 collaborative team approach
 holistic and comprehensive

 court
 accountability

 change behavior
 monitoring
 accountability

 high-risk / high-need
 frequent alcohol and drug
 testing

 long-term treatment
 frequent alcohol and drug
 testing

 intensive
 non-adversarial

 supervision
 with testing
 testing

DWI Courts: An Overview

- > a.k.a. DUI Courts, Sobriety Courts, etc.
- a distinct or specialized docket dedicated to addressing the highrisk/high-need offender
- typically operates in a post-conviction model using intensive supervision and treatment.
- GOAL: change behavior & reduce likelihood of further recidivism





DWI Courts: An Overview (cont'd)

- Developed as a more effective judicially coordinated treatmentfocused program to help reduce the adverse impact of repeat alcohol offenders on the court system and the community at large.
- The Court directly manages, oversees and supervises treatment and recovery.
- The Court recognizes day-to-day success and failure on the spot with a system of sanctions and incentives while working with treatment providers to enforce and adjust treatment plans.

DWI Courts: Key Elements

Coordinated team approach
Comprehensive therapeutic approach
Intensive monitoring
Incentives & sanctions





Benefits of Collaboration

- > Team dynamics
- More current information
- Integrated case planning to facilitate change
- Understanding where the participant is at & meeting their needs in real-time
- > Addressing behaviors and barriers to decrease risk factors



Why Have a DWI Court?



Goals & Considerations

- 1. Goal is to move from compliance to commitment
- 2. Coerced treatment works
- 3. Every member of DWI Court team is in a therapeutic relationship with client
- 4. Care & concern are more effective than confrontation & consequences
- 5. Team can help identify barriers to success

Goals & Considerations (cont'd)

- 6. Individualized treatment plan
- 7. Engage offenders in treatment long enough to move from short-term compliance to commitment
- 8. Prompt responses to successes & failures
- 9. Hold offenders accountable
- 10. Address <u>all</u> needs so to reduce recidivism

Universal Components of DWI Courts

- 1. Community Partnerships & Collaborations
- 2. Judicial intervention/intensive supervision
- 3. Early identification of clients
- 4. Integrated treatment in justice processing
- 5. Continuum of services
- 6. Non-adversarial team approach
- 7. Frequent testing to ensure compliance
- Coordinated strategy of responses to client behaviors sanctions & incentives

Quality Control
As Promulgated by National Center for DWI Courts

>10 Guiding Principles of DWI Courts

>Best Practice Standards





A Professional Services Division of the NADCP

- 1. Determine the Population
- 2. Perform a Clinical Assessment
- 3. Develop the Treatment Plan
- 4. Supervise the Offender
- 5. Forge Agency, Organization, and Community Partnerships
- 6. Take a Judicial Leadership Role
- 7. Develop Case Management Strategies
- 8. Address Transportation Issues
- 9. Evaluate the Program
- 10. Ensure a Sustainable Program

Operating a DWI Court

Typically involves multiple phases over 12-24 months

Individualized treatment and supervision plan

> Alcohol & drug testing is both random and frequent

> Frequent court review sessions

- the judge reviews the participants' progress
- the team utilizes a range of incentives, sanction, and therapeutic adjustments toward the goal of changing behavior



Target Population

- Assessed using validated riskassessment and clinical- assessment tools
- Identification of High-Risk High-Need population
- > Repeat Offenders
- Subject to clinical disqualifications

DWI Courts: Step-by-Step

- initial clinical assessment
- development of treatment plan
- periodic meetings with case manager
- testing for alcohol and other drugs
- > home and work visits
- violations are reported immediately
- > court review sessions
- > graduation

Complimentary Treatment and Social Services

- Clinical Case Management
- Mental Health Treatment
- > Trauma-Informed Services
- Criminal Thinking Intervention
- Family & Interpersonal Counseling

- Vocational & Educational Services
- Medical & Dental Treatment
- Prevention of Health-Risk Behaviors
- > Housing Assistance

Performance Review Hearings

- On a regular basis (e.g. every other week)
 - typically preceded by status conference with team
- Judge asks open ended questions
 - vital interaction with participant
- Supervision/Treatment/Testing updates
- Sanctions and rewards swiftly approved

Coordinated Strategy of Responses to Participant Behaviors



Immediate Consequences

Consequences are imposed as soon after the violation as possible

Rewards are given as soon as progress is achieved
 <u>NOTE</u>: Rewards more powerful than sanctions



Importance of Immediate Sanctions

Can't wait to sanction on a VOP 6 months after a violation

"You can't spank a puppy 6 months after peein' on the rug"



Incentives, Sanctions, and Therapeutic Adjustments: Examples

Incentives

Praise

>Wheel

Gift card

≻Coin

Leave court earlyFee waiver

Sanctions

- ≻↑ testing
- ≻↑ appearances
- Letter of apology
- >Reflective essay
- Community service
- Detention

<u>Therapeutic</u> <u>Adjustments</u>

- > level of treatment
- Peer support
- Writing assignments

>MAT

DWI Court Funding

≻ Sources:

- State Highway Admin.
- Judiciary budgets
- BJA & other grants

Provides, in part, for:

- Personnel
- Treatment
- Testing
- Transportation



Sample DWI Court Funding Opportunities

SAMHSA: <u>https://www.samhsa.gov/tloa/tap-</u> <u>development-resources/funding-opportunities</u>

»BJA: <u>https://bja.ojp.gov/program/adult-drug-court-grant-program/overview</u>

COST-EFFECTIVENESS



Maryland

DUI courts produce net cost-benefits to taxpayers of more than \$1,500 per participant and more than \$5,000 per graduate (2009).

Minnesota

DUI courts saved taxpayers \$700,000 annually and produced an average of \$2.06 (a high of \$3.19 in one court) in benefits for every \$1 invested – a 200% return on investment (2014).



DWI COURTS REDUCE RECIDIVISM

Michigan

An analysis of three counties in a two-year period found DWI court participants were 19x less likely to be arrested for a DWI (2008).

Minnesota

An evaluation of nine DWI courts found that high-risk individuals had better outcomes, including reducing recidivism by up to 69% (2014).

Georgia Repeat offenders graduating from DWI ourt were 65% less likely be rearrested for a new WI, and between 47-112 repeat DWI arrests were prevented (2011).

Campbell Collaboration A meta-analysis of 28 evaluations found an average reduction of DUI and general criminal recidivism by 12%. The best DUI courts reduced recidivism by 50-60% (2012).

Campbell Collaboration Meta-analysis of 28 DWI Court Evaluations (2012)

- DWI Courts reduce recidivism by an average of > 12%*
- The best DWI Courts reduce recidivism by as much as 50-60%
- Decreases in recidivism provide substantial economic and public safety benefits

*Recidivism reductions likely higher - meta-analysis included all studies of early start-up courts

Reasons For DWI Court Success



How Do DWI Courts Help Prevent Impaired Driving?



Tip of the lceberg



Multi-Track Models

being developed to identify and address <u>all</u> repeat offenders by their risk & need

placement of offenders into separate tracks designed to address the appropriate level of services and supervision

MULTIPLE TRACKS: AN EXAMPLE

High Risk

Low Risk

High Need	High Risk (Q1) Track 1 Likely to be rearrested High Need Mod to severe MH/SUD	Low Risk (Q2) Track 2 Unlikely to be rearrested High Need Mod to severe MH/SUD
Low Need	High Risk (Q3) Track 3 Likely to be rearrested Low Need Mild to no MH/SUD	Low Risk (Q4) Track 4 Unlikely to be rearrested Low Need Mild to no MH/SUD

A Bonus: Lessons from DWI Courts Are Transferable to Traditional Courts

- Quality assessments
- Individualized, flexible, treatment plans
- Increased/on-going judicial supervision
- Progress reports
- Better coordination between supervision & treatment
- Quicker response to relapse
- Incentives and sanctions
- Understanding of addiction & recovery
- Integration of social services

One Final Question: Do Drug-Impaired Drivers Belong in DWI Court?

- Repeat impaired drivers are different from clients in adult drug treatment courts not just in their criminal charges, but in many other important ways, including demographically and behaviorally.
- It doesn't matter whether the substance is alcohol, drugs or, most likely, a combination. DWI court practitioners—over others—are best equipped with the knowledge and tools to provide treatment and accountability to repeat impaired drivers in a way that balances public health and public safety.

E. Holmes & J. Eberspacher, Why Drug-Impaired Drivers Belong in DWI Court, Highway To Justice (ABA, Winter 2020)

The Bottom Line: DWI Courts Save Lives

DWI Courts are the most successful strategy for holding repeat impaired drivers accountable while ensuring they receive lifesaving treatment







Great Resource



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Your Questions?

How to reach me....

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