# MAT in Jails and Drug Courts Learning Collaborative: Lessons Learned and Opportunities for Collaborative Courts



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Principal, Health Management Associates Project Director, MAT in Jails and Drug Courts

California Association of Collaborative Courts Conference Feb. 27, 2023 Monterey, California

### AGENDA



#### **Agenda Item**

Welcome and Introduction

MAT in Jails & Drug Courts Learning

Collaborative: The Model

Learning Collaborative Background

& Progress to Date

**Current Learning Collaborative** 

Legal Landscape

Framework for Drug Courts

**Learning Collaborative Teams** 





## WELCOME & INTRODUCTION

#### **■ INTRODUCTION – HEALTH MANAGEMENT ASSOCIATES (HMA)**

- HMA specializes in publicly funded health care and the partners and populations that rely on it
- National with nearly 600 consulting colleagues and growing

#### **OUR COLLEAGUES ARE FORMER:**

- >> Healthcare leaders and practitioners at county and jail agencies
- >> Hospital, health system and state-based leaders
- >> Physicians and other clinicians who have run health centers and integrated systems of care—many still practice medicine
- >> Policy advisors to governors and other elected officials
- >> State Medicaid directors, mental health commissioners and budget officers
- >> Senior officials from the Centers for Medicare & Medicaid Services (CMS) and the Office of Management & Budget (OMB)



- 4 HMA California
  Offices in:
- Costa Mesa
- Los Angeles
- San Francisco
- Sacramento

HMA Institute on Addiction:

https://hmaioa.com/



#### **■ INTRODUCTION – HMA CORE TEAM**

#### **Project Leadership**



Bren Manaugh, LCSW-S **Project Director** 



Amanda Ternan, PMP **Project Manager** 



Kelly Wright, MA **Project Manager** 

#### **HMA Coaches and Subject Matter Experts (SME)**



Carol Clancy, PsyD



Akiba Daniels, MPH Jail MAT & Juvenile Justice Coach Health Equity SME, PM Support



Margaret Kirkegaard, MD, MPH Jail MAT Coach



Marc Richman, PhD Jail MAT Coach



**Charles Robbins, MBA** Child Welfare Coach



Shannon Robinson, MD Addiction and Prescriber SME



Rich VandenHeuvel, MSW Jail MAT Coach





Keegan Warren, JD, LLM Legal SME



Liz Stanley-Salazar, RN, MPH Child Welfare & Juvenile Justice Coach



Julie White, MSW Jail MAT Coach



**Mark Varela** Juvenile Justice SME



Judge Leonard Edwards (Ret.) Judicial and Child Welfare SME



**Howard Himes, MSW** Child Welfare Coach



#### OVERVIEW

The project *MAT in Jails and Drug Courts* is funded by CA DHCS through the CA FY 2022/23 budget, which included an allocation of State General Funds for the ongoing support to the Medication Assisted Treatment (MAT) Expansion Project

The goal of the project is to increase access to MAT in county jails, drug courts, and the child welfare/juvenile justice systems

MAT In Jails and Drug Courts includes grant funds and participation stipends distributed to participating county teams who also receive technical assistance (TA) and coaching

The project programming term is 10/1/2022 through 9/30/2024 with the possibility of extending TA and coaching through the contract term ending on 6/30/2025







# MAT IN JAILS & DRUG COURTS LEARNING COLLABORATIVE: THE MODEL

#### I MODEL COUNTY SYSTEM: NO WRONG DOOR AND PERSON-CENTERED









Health Plans and Other Payors











Community Service
Providers



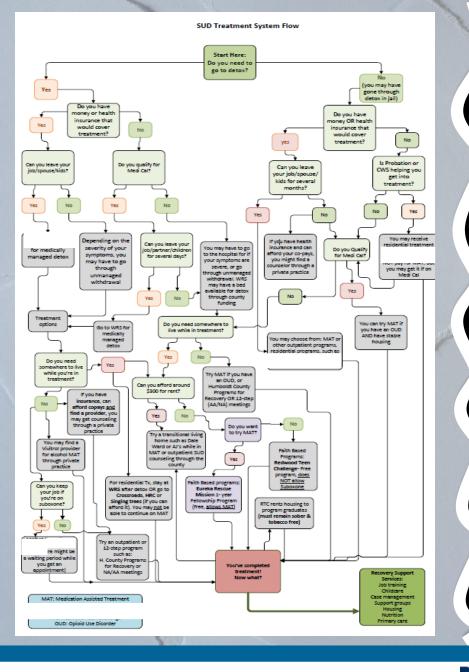
Community Service Providers







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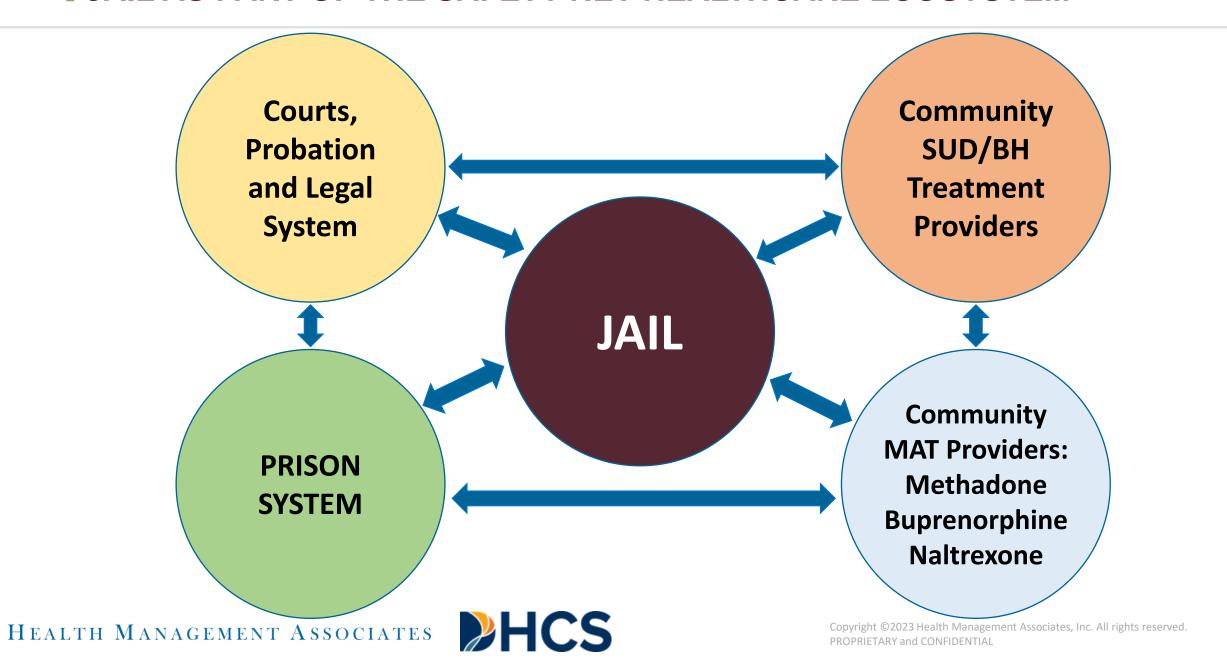
TYPICAL COUNTY CURRENT STATE







#### I JAIL AS PART OF THE SAFETY NET HEALTHCARE ECOSYSTEM



#### **ASPIRATIONAL GUIDING PRINCIPLES FOR COUNTY TEAMS**

- The jail is a health care site in the community's health care safety net
- A county resident receives the same care for acute and chronic conditions wherever they seek care in the county, including the jail, and transitions are managed and supported
- The county has a single standard of care such that persons with OUD have access to all FDA-approved forms of MAT available to them, via an individualized treatment plan, as well as effective treatment for stimulant use disorder





## WHY WE USE A TEAM MODEL: DIFFERENT PERSPECTIVES ON PERSON WITH SUBSTANCE USE DISORDER





## HMA MODEL FOR IMPLEMENTATION OF MAT IN JAILS AND COUNTY JUSTICE SYSTEM



### IMPLEMENTATION OF MAT IN JAILS, PRISONS AND JUSTICE ECOSYSTEMS

#### In our model MAT is defined as

- Implementation of at least two forms of the FDA-approved medications:
  - naltrexone; buprenorphine; methadone --- continuation and/or initiation
- + other evidence-based interventions for Opioid Use Disorder and Stimulant Use Disorders
- Access to naloxone for overdose prevention

Alignment with community standards of care

Access to behavioral health and recovery support services; re-entry planning





## LEARNING COLLABORATIVE BACKGROUND & PROGRESS TO DATE

#### I HMA'S STATE OPIOID RESPONSE (SOR) BACKGROUND

HMA launched 1<sup>st</sup> cohort of
 Expanding Access to MAT in
 County Criminal Justice
 Settings Learning
 Collaborative under
 contract with DHCS funded
 by SOR I with 23 counties

- In spring 2020, additional counties joined, bringing the total to 32 counties which covered more than 80% of the state's population
- In September 2020, teams who participated under SOR I were invited to apply to continue in the Learning Collaborative under SOR II
- 32 counties participating under SOR II (and 27 of these counties applying for and receiving an implementation grant)
- HMA invited to continue efforts under state general funds after SOR II

2018

2019

2020

2021

2022

County Fouchpoints  Starting August 2019, in-person LC model across the state with 6 targeted stakeholder groups: probation, adult collaborative courts, youth/dependency courts, public defenders, DAs, and human services/child welfare workers

- Applications distributed and submitted for County Touchpoints Learning Collaborative, including Special Populations and Child Welfare Components, by December 31, 2020
- Learning Collaborative had 13
   Child Welfare Teams & 5 Special
   Populations Teams
- County Touchpoints efforts to be merged with Jail MAT and Drug
  Court Learning Collaborative



#### **I LEARNING COLLABORATIVE PROCESS**

#### Teams from counties apply to be part of Learning Collaborative

- Team includes jail health care, jail custody, probation, drug courts, county SUD/BH program, county administrator, key community provider(s), and other key partners
- Team Commitment: We meet the team where they are and help them move toward use of at least two forms of MAT in jails and drug courts; participate in learning sessions and monthly coaching calls
- Team Benefits:
  - \$50,000 minimum to offset project time commitment
  - Access to state and national experts for learning and barrier busting
  - Resources, webinars, in-person events with other counties to share and learn



#### Siskivou Modoc Shasta Lassen Tehama SOR I Mendocino SOR II SOR I & II Mariposa Fresno Monterev Inyo Kern Santa Barbara Ventura Los Angeles Bernardino Riverside San Diego Imperial

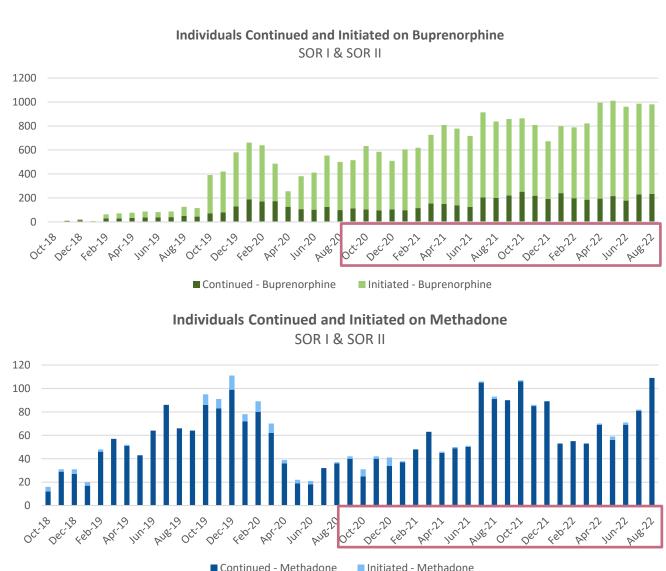
## PREVIOUS LEARNING COLLABORATIVE: JAIL MAT (1 OF 2)

- Throughout the SOR I and SOR II funding and contracting periods, 37 different California counties have been in the Learning Collaborative
- Technical assistance included individualized monthly coaching, topic-specific webinars, quarterly learning collaboratives, office hours, and ad hoc training as requested
- Implementation grants used to:
  - Supplement staffing costs
  - Purchase medications
  - Increase behavioral health services
  - Provide harm reduction
  - Reentry resources and support and more



#### **■ PREVIOUS LEARNING COLLABORATIVE: JAIL MAT (2 OF 2)**

- Teams demonstrated significant progress in increasing access to MAT during incarceration
- 31,702 individuals have received MAT while incarcerated in county jails participating in this Learning Collaborative across the 36 counties who have submitted data during SOR I and SOR II, including 19,000 initiated onto buprenorphine in the jail
- The percentage of individuals withdrawn from MAT continued to decrease across the project





#### **■ PREVIOUS LEARNING COLLABORATIVE: COUNTY TOUCHPOINTS (1 OF 2)**

### Outgrowth of Jail MAT LC:

Recognized need to educate and engage other justice/system stakeholders to support jail MAT implementation

Addressed MAT = "not clean"

Probation,
Courts/Judges,
District Attorneys,
Public Defenders,
Child Welfare

More than 1,500 trained under SOR I

Under SOR II: Learning Collaborative

participated in
Learning
Collaborative
at intersection of
SUD, justice, and
child welfare
systems

County
collaborations,
resource
alignment, system
mapping and gap
analysis,
individualized
county coaching
and
goal setting



#### Siskiyou Modoc 'Humboldt Shasta Lassen Tehama Child Welfare Mendocino **Special Populations** Child Welfare & Special Populations Costa San Costa San Joaquin Tuolumne Monterey Inyo Kings Tulare Obispo Kern Barbara √entura Bernardino Angeles Riverside Imperial HEALTH MANAGEMENT ASSOCIATES

## PREVIOUS LEARNING COLLABORATIVE: COUNTY TOUCHPOINTS (2 OF 2)

- County teams could join under Child Welfare or Special Populations
- Education and training about opioid addiction and treatment in county criminal justice and child welfare systems developed for stakeholders in probation, adult collaborative courts, public defenders, district attorneys, child welfare, and youth and dependency courts
- Workgroups aimed at enhancing processes, sharing best practices, and leveraging multi-county input to inform state and county decisions
  - Plans of Safe Care (POSC): 5 counties completed their POSC; 2 completed their POSC and are pending policy implementation; and 4 counties have POSC in process
  - Data Workgroup: participated in the CDSS Change Request 8643 and will have successfully created a new CWS/CMS substance use mandatory field to be added in February 2023

#### **■ PROGRESS WITH PARTICIPATING COUNTIES – A CURRENT SNAPSHOT**

#### Since October 2018

- Jails progressing beyond offering no medications for MAT or using only an antagonist (naltrexone) and toward offering all 3 FDA-approved medications
- Increase in number of detainees receiving MAT in custody, including in-custody initiation of buprenorphine
- Jails adding access to methadone where clinically indicated beyond pregnant women only
- Increase in number of jail providers for MAT

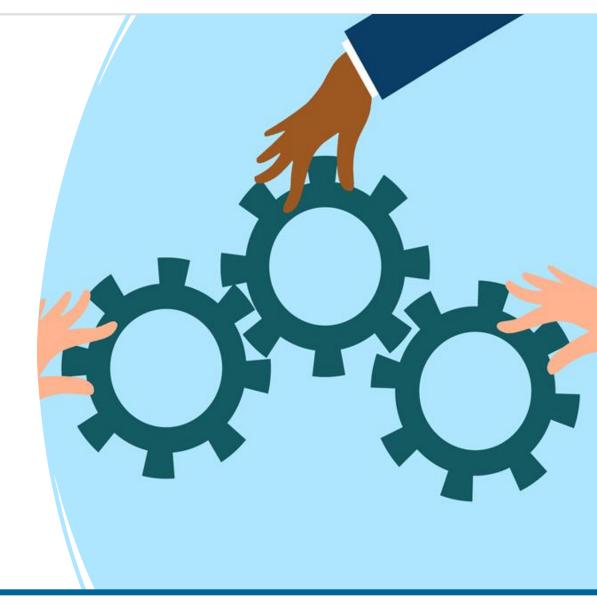


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#### ■ PROGRESS WITH PARTICIPATING COUNTIES – A CURRENT SNAPSHOT

- Jails developing strong and sustainable relationships with county treatment providers for SUD assessment and treatment, in-custody behavioral therapies, and "hot hand-offs" at release
- Partnerships include rural and urban/ suburban counties – no jail too big or too small
- Jails developing processes for initial universal screening for and identification of SUD
- Jails implementing training for and distribution of naloxone (e.g., Narcan)







## CURRENT LEARNING COLLABORATIVE

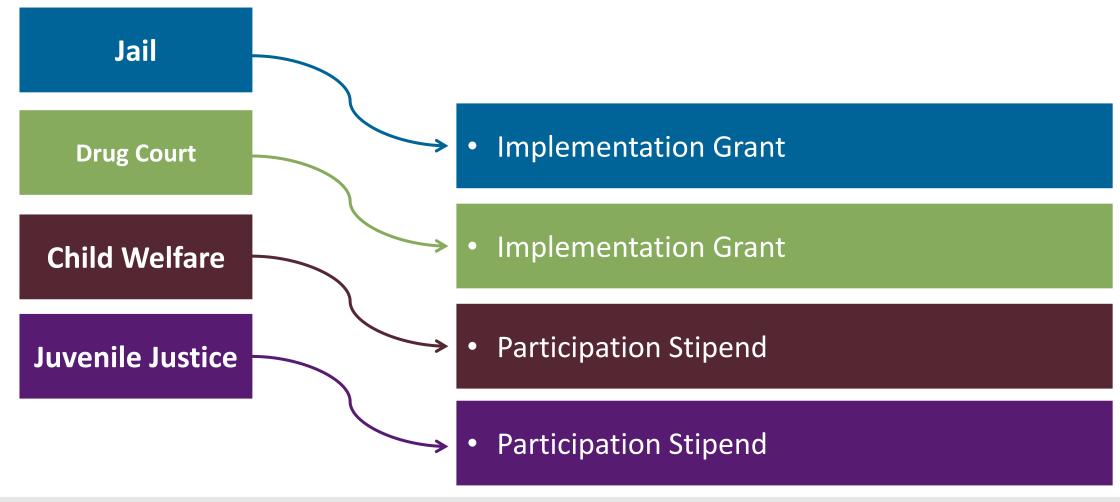
#### I OBJECTIVES AND COMPONENTS OF LEARNING COLLABORATIVE

The objective of the Learning Collaborative is to improve coordination among all county agencies and providers who serve justice-involved county residents and to develop bridges to further build system capacity to ensure access to effective treatment and recovery supports.

- Learning Collaborative Counties receive:
  - Individualized coaching with HMA coach, with additional SMEs brought in as needed
  - Access to webinars, quarterly learning collaboratives, and trainings based on needs of counties
  - Optional participation in ad hoc workgroups and discussion groups with peers throughout the state to tackle systemwide issues
  - Grants and stipends to enhance county efforts and pilot innovative solutions that demonstrate outcomes to lead to sustainable funding



#### **PARTICIPATION OPTIONS**



Teams can opt to apply for technical assistance only (without implementation grant or participation stipend) for any of the options above



#### I JAIL MAT

- Multidisciplinary team to support implementation of MAT in county jails with designated Jail MAT team lead(s); assigned 1 county team Jail MAT lead coach
- Encourage inclusion of drug court representative(s) where not already integrated
- Engage child welfare, probation and other key agencies and partners in the county to drive progress toward a coordinated county plan for county residents with Opioid Use Disorder (OUD) and justice system involvement.
- These activities may be supplemented by a second HMA subject matter expert and coach who will work in coordination with the Jail MAT lead coach.

Please note each county eligible for jail **OR** drug court implementation grant



- Multidisciplinary team to support implementation of and access to MAT in county drug courts with designated drug court team lead(s); assigned 1 county team lead coach
- Support access to MAT for drug court participants through drug court or contracted staff, as applicable, where clinically indicated
- Encourage inclusion of Jail MAT representative(s) where not already integrated
- Engage child welfare, probation and other key agencies and partners in the county, to drive progress toward a coordinated county plan for county residents with OUD and justice system involvement. These activities may be supplemented by a second HMA subject matter expert and coach who will work in coordination with the Jail MAT lead coach

Please note that county eligible for jail **OR** drug court implementation grant



#### **■ CONTINUED RECRUITMENT IN 2023**

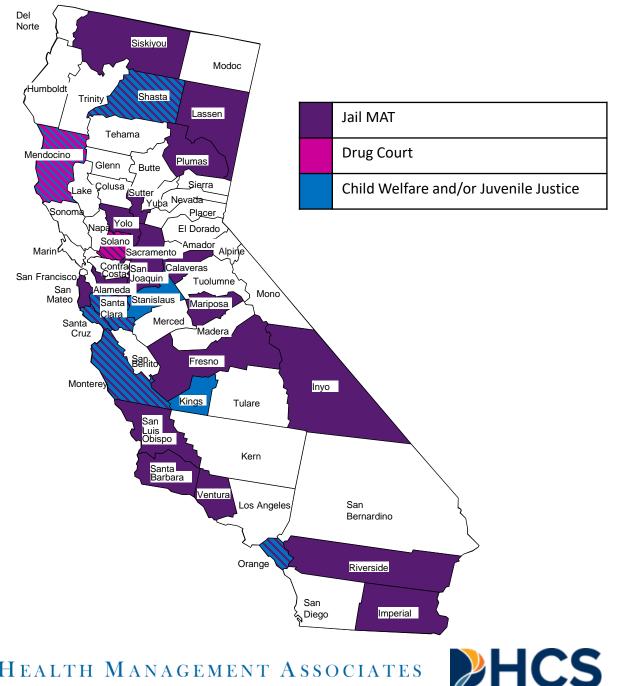
Initial application window closed **January 31<sup>st</sup>** with teams receiving grant/stipend announcements in early February. Continued recruitment this Spring includes:

Now through April 15<sup>th</sup> Priority recruitment window for new Jails and Drug Courts









#### MAT IN JAILS AND DRUG **COURTS – TEAMS AS OF FEBRUARY 2023**

Jail MAT: 24

**Drug Court: 2** 

Child Welfare and Juvenile Justice: 9





## LEGAL LANDSCAPE

HEALTH MANAGEMENT ASSOCIATES

## CURRENT STATE OF MAT NATIONALLY: WHAT THE LEARNING COLLABORATIVE DIRECTLY ADDRESSES

#### Nationally, most jails and prisons:

- Withdrawal management with only symptom response ("comfort meds") is common
- Erratic and non-evidence-based practice is common and especially risky for pregnant women
- Forced withdrawal from MAT when incarcerated is common

## In jails/prisons, custody trends toward:

- Transition to accepting OUD as identical to other chronic diseases
- Growing recognition that appropriate treatment reduces custody challenges

Growing body of case law finding counties liable for not providing access to MAT in jails



## A HIGH-LEVEL SUMMARY OF THE ROLE OF COURTS IN INCREASING ACCESS TO MOUD\* IN CARCERAL SETTINGS

deliberate indifference

deliberate indifference + disability discrimination

1976: Estelle v. Gamble

- Plaintiff: Incarcerated person
- Argument: Withholding medical care violates 8A.

2019: Kortlever v. Whatcom Cnty.

- Plaintiff: Class of incarcerated persons
- Argument: Policy limiting MOUD violates 8A & ADA.

December 2022: *Disability Rights New Mex. v. Lucero* (pending)

- Plaintiff: P&A (and its constituents with OUD)
- Argument: Policy limiting violates 8A, 14A, ADA, §504, & §1557.



2018: Smith v. Fitzpatrick

- Plaintiff: Person awaiting incarceration
- Argument: Policy limiting MOUD violates 8A & ADA.



2022: M.C. V. Jefferson Cnty.

- Plaintiff: Class of pretrial detainees and class of incarcerated persons, both now and in the future
- Argument: Policy limiting MOUD violates 8A, 14A, & ADA.

\* Medications for Opioid Use Disorder



#### I FEDERAL POLICY

#### Managing Substance Withdrawal in Jails: A Legal Brief

Office of Justice Programs Bureau of Justice
Assistance
(Feb. 2022)

Purpose: an overview of constitutional rights and key legislation related to substance use withdrawal; outlines steps for creating a comprehensive response to SUD

civil rights claims under the Constitution (8A, 14A, 5A) and statutes pursuant (§ 1983, CRIPA, ADA); state tort law (wrongful death, medical malpractice, IIED)

The Americans with Disabilities Act and the Opioid Crisis: Combating

Discrimination Against People in

Treatment or Recovery

Department of Justice Civil Rights Division (April 2022)

Purpose: information about how the ADA can protect individuals with OUD and other SUD from discrimination

example Violation: "A jail does not allow incoming inmates to continue taking MOUD prescribed before their detention. The jail's blanket policy prohibiting the use of MOUD would violate the ADA."

Enforcement: investigations, warning letters, and lawsuits against jails and prisons

#### **2022 National Drug Control Strategy**

Office of National Drug Control Policy (April 2022)

Carceral Settings Objective 2: increase access to MOUD for BOP, state prisons, and local jails

Carceral Settings Principle 1:
normalize low-threshold access
to all three MOUD throughout
the criminal legal system,
including maintenance and rapid
initiation, and at medically
appropriate dosing

Target Agencies: DOJ/OJP, BOP, NIC HHS/ASPE, NIH



### AVOIDING LIABILITY FOR ONE-SIZE-FITS-ALL POLICIES LIMITING ACCESS TO MOUD

Take reasonable measures to address the risk of the serious harm presented by withdrawal

- Conduct an individualized assessment—including for safety or diversion concerns
- Adhere to the standard of care for that person (the right MOUD at the right dosage), particularly where proper care has been previously established by the detainee's provider in the community



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## WHY TREAT ADDICTION IN JUSTICE SETTINGS?

EVERYBODY WINS

- Person with OUD can attain recovery and gets their life back
- Overdose deaths are prevented
- Crime is prevented



Today, the emerging question for criminal justice and human service systems is no longer IF we should support treatment of opioid addiction with MAT, but HOW.



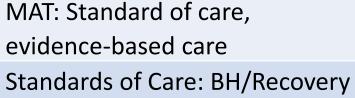


#### **LEARNING COLLABORATIVE** FOR DRUG **COURTS FRAMEWORK**





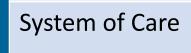
Guidelines



Technical knowledge related to MAT implementation and service delivery



Effective behavioral health treatments and recovery modalities



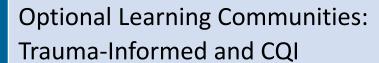
Applicable court rulings, standards, and procedures related to SUD and individuals with SUD who are engaged in the justice system



System of care for SUD medical treatment, behavioral health counseling and recovery. Identify and respond to critical gaps.

Leadership role for the local system of justice and

health care for applying evidence-based standards of care and a chronic disease paradigm for SUD



Elect to participate

Individualized expert technical assistance to each county Drug Court Team





## FRAMEWORK FOR DRUG COURTS LEARNING COLLABORATIVE TEAMS

#### I DRUG COURTS: STANDARDS

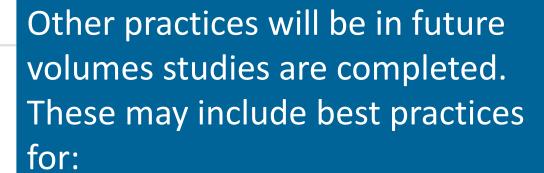
- Best Practice Standards<sup>1</sup>
- 2013, National Association of Drug Court Professionals (NADCP) released Volume I of the Adult Drug Court Best Practice Standards
- Four years reviewing scientific research on best practices in substance use disorder treatment and correctional rehabilitation distilled into measurable and enforceable practice recommendations for Drug Court professionals
- In the ensuing two years, twenty out of twenty-five states (80%) responding to a national survey indicated they had adopted the Standards for purposes of credentialing, funding, or training new and existing Drug Courts in their jurisdictions
- Volume I released and NADCP continued ongoing process of evaluation and work to inform Volume II (released 2015; Text Revision 2018)

1. BEST PRACTICE STANDARDS – DRUG COURT BEST PRACTICE STANDARDS – VOLUME II, TEXT REVISION - NATIONAL ASSOCIATION OF DRUG COURT PROFESSIONALS ALEXANDRIA, VIRGINIA <a href="https://www.google.com/search?q=collaborative+courts+substance+use+treatment+best+practice&rlz=1C1GCEA">https://www.google.com/search?q=collaborative+courts+substance+use+treatment+best+practice&aqs=chrome..69i57j33i160.13971j0j7&sourceid=chrome&ie=UTF-8</a>



• The standards contained in Volumes I and II are based on "reliable and convincing evidence" that a practice "significantly improves outcomes"





- Community-supervision officers in Drug Courts
- Restorative justice interventions such as community service or victim restitution; payment of fines, fees, and costs
- Peer and vocational mentoring
- Recovery-oriented systems of care



To date, best practice standards have only been developed for Adult Drug Courts

Other types of problemsolving courts such as
Juvenile Drug Courts,
DWI Courts, Family Drug
Courts, or Veterans
Treatment Courts do not
yet have the research
base

When research identifies and supports best practices for other problem-solving court programs, NADCP will develop and release best practice standards for those programs as well



- "Putting science into practice is the greatest challenge facing the substance use disorder treatment and criminal justice fields" (Damschroder et al., 2009; Rudes et al., 2013; Taxman & Belenko, 2013)
- Per the NADCP Drug Courts are doing "considerably better than most programs at following best practice standards" but acknowledge more work is needed
- Leading causes of programs producing ineffective or harmful results are:
  - Ignoring best practices
  - Failing to attend training conferences (Carey et al., 2012; Shaffer, 2006; van Wormer, 2010)



Best Practice
Standards<sup>1</sup> Volume II
describes best
practices for
Drug Courts on:

- Complementary Treatment and Social Services
- Drug and Alcohol Testing
- Multidisciplinary Team
- Census and Caseloads
- Monitoring and Evaluation

1. BEST PRACTICE STANDARDS – DRUG COURT BEST PRACTICE STANDARDS - VOLUME II, TEXT REVISION - NATIONAL ASSOCIATION OF DRUG COURT PROFESSIONALS ALEXANDRIA, VIRGINIA <a href="https://www.google.com/search?q=collaborative+courts+substance+use+treatment+best+practice&rlz=1C1GCEA\_enUS1019US1019&oq=collaborative+courts+substance+use+treatment+best+practice&aqs=chrome..69i57j33i160.13971j0j7&sourceid=chrome&ie=UTF-8



## Questions





#### **CONTACT US**

#### FOR ANY QUESTIONS OR COMMENTS

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